

Business Administration

Course Number:	BUAD 350
Course Title:	CAPITAL MARKETS
Credits:	3
Calendar Description:	This course provides the student with a contemporary view of capital markets. Students examine financial institutions and instruments. Among financial intermediaries, the key role of investment banking will be emphasized. Traditional instruments such as equity and debt securities, along with their derivatives and asset securitization will be discussed. Current events will also be discussed (also offered by Distance Education). (3,0,0)
Semester and Year:	FALL 2020
Prerequisite(s):	BUAD 296 and a minimum 3rd year standing
Corequisite(s):	No
Prerequisite to:	BUAD 353 and BUAD 450
Final Exam:	Yes
Hours per week:	3
Graduation Requirement:	

Professor

<i>Course Captain</i>	250-762-5445 ext. 4564	C111	nedwards@okanagan.bc.ca

Evaluation Procedure

StockTrak Investment Practice and Competition	20%
Participation and contribution including in-class practice	10%
Mid-term Exam	30%
Final Exam	40%
Total	100%

Notes

Students must earn half of all available exam marks to achieve a passing grade in the course. There will be no make-up or supplementary exams. Class attendance is required and active class participation/contribution are expected.

StockTrak Investment Practice and Competition

1. Each student will need to register an individual account at:

<https://www.stocktrak.com:443/members/registerstudent?className=BUAD350-FALL2020>

Required Texts/Resources

Investment Analysis and Portfolio Management, Reilly, Brown, Leeds, 11th Edition, Nelson Education, 2019, ISBN: 9781305262997

Recommended:

Behavioural Corporate Finance, Shefrin, Second Edition, ISBN 9781259277207

Other required readings will be posted on Moodle

Lecture slides will be posted on Moodle

Course Schedule

2020 Week of:		Wednesday, September 9 - First Day of Classes Monday, October 12 – Statutory Holiday Wednesday, November 11 – Statutory Holiday Monday, December 7 – Last Day of Regular Classes	
Sep	7-11	Introduction Review of risk and return Asset allocation and security selection	Ch. 1-2
	14-18	Review of asset classes and capital market participants Trading process and transactions Market Indices and Exchange Traded Funds (ETFs)	Ch. 3, 4

